

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael J. O'Phelan et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Herewith	Docket:	279.234US4
Title:	FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

Pursuant to 37 C.F.R. §1.98(d), copies of some of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 09/706,447, filed on November 03, 2000, which is relied upon for an earlier filing date under 35 U.S.C. §120.

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Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MICHAEL J. O'PHELAN ET AL.

By their Representatives,

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Date of Deposit: January 15, 2004

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 5

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US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	1,931,043	10/17/1933	Taylor, F. W.			03/05/1932
	US-2003/0077509 A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
	US-3,182,238	05/04/1965	Toder, E. H., et al.	317	260	02/05/1962
	US-3,643,168	02/15/1972	Manicki, Gert L.	325	459	07/07/1969
	US-3,723,926	03/27/1973	Thomas, Alan , et al.	335	268	03/22/1972
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	US-3,828,227	08/06/1974	Millard, et al.	317	230	04/09/1973
	US-3,859,574	01/07/1975	Brazier, R. L.	317	230	10/19/1973
	US-3,914,666	10/21/1975	Schmickl, H. et al.	317	261	03/28/1974
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	US-4,047,790	09/13/1977	Carino, J. W.	339	220	01/07/1976
	US-4,086,148	04/25/1978	Badia, M.	204	33	11/19/1976
	US-4,088,108	05/09/1978	Hager, James H.	123	148CC	01/19/1976
	US-4,131,935	12/26/1978	Clement, W. J.	361	433	03/31/1977
	US-4,169,003	09/25/1979	Dangel, Phoenix N., et al.	156	179	11/17/1977
	US-4,232,099	11/04/1980	Sullivan, Charles I.	429	152	02/06/1976
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	US-4,539,999	09/10/1985	Mans	128	696	07/22/1983
	US-4,553,304	11/19/1985	Fleuret, D.	29	25.42	05/31/1983
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	US-4,683,516	07/28/1987	Miller, J. R.	361	328	08/08/1986
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Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

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	US-4,796,638	01/10/1989	Sasaki, M.			
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	US-5,367,437	11/01/1994	Anderson	361	807	
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	US-5,414,588	05/09/1995	Barbee Jr., T. W., et al.	361	304	09/20/1993
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	US-5,469,325	11/21/1995	Evans, D. A.	361	526	07/29/1994
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Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

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	US-5,691,079	11/25/1997	Daugaard, Jorgen	429	152	07/07/1995
	US-5,716,729	02/10/1998	Sunderland, W. C., et al.	429	66	04/26/1996
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	US-5,811,206	09/22/1998	Sunderland, Walter C., et al.	429	181	10/31/1997
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	US-5,867,363	02/02/1999	Tsai, K. C., et al.	361	502	03/30/1994
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Group Art Unit	Unknown
Examiner Name	Unknown

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Attorney Docket No: 279.234US4

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	US-6,104,961	08/15/2000	Conger, Steven, et al.	607	122	02/18/1999
	US-6,110,233	08/29/2000	O'Phelan, Michael J., et al.	29	25.03	05/11/1998
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	US-6,118,651	09/12/2000	Mehrotra, V., et al.	361	509	12/24/1997
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	US-6,212,063	04/03/2001	Johnson, William L., et al.	361	517	06/24/1998
	US-6,225,778	05/01/2001	Hayama, Hideki, et al.	320	112	07/18/2000
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	US-6,321,114	11/20/2001	Nutzman, Thomas M., et al.	607	5	07/22/1999
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Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 5 of 5

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	US-6,477,037	11/05/2002	Nielsen, Christian S., et al.	361	520	06/30/2000
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	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
	US-6,522,525	02/18/2003	O'Phelan, Michael J., et al.	361	509	11/03/2000
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	WO-WO 00/19470	04/06/2000	O'Phelan, Michael J.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MOYNIHAN, J. D., "Theory, Design and Application of Electrolytic Capacitors", Copyright by John D. Moynihan,(1982),136 p.	
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